

## 1.21 PUBLIC RECORDS

It is the policy of Bath Township that openness leads to a better-informed citizenry, which leads to more transparent government and sounder public policy. The township strictly adheres to the state's Public Records Act as well as other state and federal laws.

Ohio's Public Records Act imposes two primary obligations upon public offices:

- a. Provide prompt inspection of public records (R.C. 149.43(B)(1)); and
- b. Provide copies of public records within a reasonable period of time. (R.C. 149.43(B)(2))

The Public Records Act evolved from the principle that Ohio's citizens are entitled to access the records of their government. We agree that to advance that principle, the Public Records Act should be interpreted liberally in favor of disclosure.

### **DEFINITION**

Under Ohio law, a public office may only create records that are necessary for the adequate and proper documentation of the organization, functions, policies, decisions, procedures, and essential transactions of the agency and for the protection of the legal and financial rights of the state and persons directly affected by the agency's activities. (R.C. 149.40)

It is the policy of Bath Township in Summit County that, as required by Ohio law, records will be organized and maintained so that they are made available for inspection to any person at all reasonable times during the regular business hours. (R.C. 149.43(B)(1))

Copies will be made available upon request within a reasonable period of time. (R.C. 149.43(B)(1))

A current record retention schedule will be readily available to the public upon request. (R.C. 149.43(B)(2))

In accordance with the Ohio Revised Code and court rulings, "records" are defined as those items that meet all of the following:

- a. any document, device, or item, regardless of physical form or characteristic, including an electronic record (which includes but is not limited to email or other record created, generated, sent, communicated, received, or stored by electronic means);
- b. that is created or received by, or coming under the jurisdiction of a public office; and
- c. that documents the organization, functions, policies, decisions, procedures, operations, or other activities of the office. (R.C. § 149.011(G))

The determination of whether a specific item constitutes a "record" will depend on the facts and circumstances surrounding the particular item requested. The Ohio Supreme Court has imposed an actual use standard in defining a "record," which means that an item is not automatically a

“record” simply because the public office could (but did not) use a document it received to carry out its duties and responsibilities.

Furthermore, the township is not required to create new records to respond to a public records request, even if it is only a matter of compiling information from existing records.

## EXEMPT RECORDS

Not all of Bath’s records are “public records.” Certain records are exempt from the Public Records Act. Exempt records include records: (1) the release of which is *prohibited* by state or federal law, or (2) that are subject to an express exception set forth in Ohio’s Public Records Act, which may be released only if Bath decides to waive the express exception.

Examples of records, the release of which is *prohibited* by state or federal law, include, but are not limited to, the following:

- a. Attorney–client privileged information;
- b. Record of a Certified Public Accountant or public accountant in the performance of an audit of a public office (R.C. 4701.19(B));
- c. Federal tax returns (26 U.S.C. 6103(a));
- d. Criminal background information and other law enforcement information on the LEADS/CCH/NCIC computer database (42 U.S.C. 3789g);
- e. Records that have been sealed pursuant to a statutorily authorized court order (i.e. R.C. 2953.52);
- f. Peace officer’s home address during the pendency of a criminal case in which the officer is a witness or arresting officer (R.C. 2921.24(A)); and
- g. Employee and family member records that were created for purposes of the Family Medical Leave Act or the Americans with Disabilities Act (29 CFR 825.500(g) and 1630.14(c)(1))
- h. Residential and Familial Information for peace officers, firefighters, EMTs, prosecutor, assistant prosecutor, children’s services workers, or corrections officers (R.C. 149.43(A)(7));
- i. Records that pertain to a patient’s medical history, diagnosis, prognosis, or medical condition and that were general and maintained in the process of medical treatment (R.C. 149.43(A)(1)(a));
- j. Records that contain information that was specifically compiled in reasonable anticipation of, or in defense of, a civil or criminal action or proceeding (R.C. 149.43(A)(1)(g)); and

- k. Records that pertain to a law enforcement matter of a criminal, quasi-criminal, civil, or administrative nature and that, if released, would create a high probability of disclosing any of the following: (1) the identity of an uncharged suspect; (2) the identity of a confidential source; (3) specific confidential investigatory techniques or procedures; (4) specific investigative work product; or (5) information that would endanger the life or physical safety of law enforcement personnel, a crime victim, a witness, or a confidential source (R.C. 149.43(A)(2))

The exceptions to the Public Records Act will be narrowly construed by the township in favor of disclosure. The township reserves the right to seek legal review prior to determining whether or not an exception applies.

## **RECORD REQUESTS**

Each request of public records should be evaluated for a response using the following guidelines:

- Although no specific language is required to make a request, the requester must at least identify the records requested with sufficient clarity to allow the township to identify, retrieve, and review the records. If a requester makes an ambiguous or overly broad request or has difficulty in making a request for copies or inspection of public records such that the township cannot reasonably identify what public records are being requested, then the township may deny the request. In such cases, the township will provide the requester with an opportunity to revise the request by informing him/her of the manner in which records are maintained by the township and accessed in the ordinary course of this office's duties. (R.C. 149.43(B)(2))
- The requester does not have to put a records request in writing and does not have to provide his/her identity or the intended use of the requested public record. However, the records custodian may ask for a written request and may ask for the requester's identity and/or intended use of the information requested if: (1) it would benefit the requester by helping the public office identify, locate, or deliver the records being sought, and (2) the requester is informed that a written request and the requester's identity and intended use of the information requested are not required. (R.C. 149.43(B)(5))
- The Township will permit a requester to choose to have the public record duplicated upon paper, upon the same medium which this office keeps it, or upon any other medium which this office determines it can reasonably be duplicated as an integral part of the normal operations of this office. This office is not required to allow the requester to make the copies of the public record. (R.C. 149.43(B)(6))
- Public records should be available for inspection at all times during regular business hours which for Bath Township are from 8AM until 4 PM Monday through Friday. Public records should be made available for inspection promptly. (R.C. 149.43(B)(1)) To the extent that the township may operate 24 hours-a-day, the records of that office will be made available for inspection during normal administrative hours as noted above.

- Copies of public records should be made available within a reasonable amount of time. (R.C. 149.43(B)(1))
- The determination of the terms “prompt” and “reasonable” takes into account the volume of records requested; the proximity of the location where the records are stored; and the necessity for any legal review of the records requested.
- Each request should be evaluated for an estimated length of time required to gather the records. Routine requests for records should be satisfied immediately if feasible to do so. Routine requests include, but are not limited to, meeting minutes (both in draft and final form), resolutions, budgets, etc.
- Upon request, the township will provide copies of public records to a requester by the United States Postal Service or by any other delivery means or transmission that the township deems reasonable. (R.C. 149.43(B)(7)) In addition to other costs, requesters can be asked to bear the cost of the postage.
- The township will limit to ten (10) the number of copies of public records provided per month to a requester by the United States Postal Service, unless the requester certifies in writing that he/she does not intend to use or forward the requested records, or the information contained in them, for commercial purposes. (The word “commercial” should be narrowly construed and does not include reporting or gathering news, reporting or gathering information to assist citizen oversight or understanding of the operation or activities of government, or nonprofit educational research.) (R.C. 149.43(B)(7))
- By Ohio law, the township is not required to permit a person who is incarcerated pursuant to a criminal conviction or a juvenile adjudication to inspect or to obtain a copy of any public record concerning a criminal investigation or prosecution or concerning what would be a criminal investigation if the subject of the investigation or prosecution were an adult, unless the judge who imposed the sentence or made the adjudication with respect to the person, or the judge’s successor in office, finds that the information sought in the public record is necessary to support what appears to be a justifiable claim of the person. (R.C. 149.43(B)(8))
- The township, in response to a written request made and signed by a journalist, which includes the journalist’s name and title and the name and address of the journalist’s employer and which states that the disclosure of the information sought would be in the public interest, will provide the address of the actual personal residence of anyone employed by the township including peace officers, firefighters, EMTs, prosecutor, assistant prosecutor, children’s services worker, or corrections officer; and, if such employee’s spouse, or child is employed by a public office, the name and address of that public office. (R.C. 149.43(B)(9)).
- Any denial of public records requested, in part or in whole, should include an explanation, including legal authority, as to why the request was denied. If the initial request was

provided in writing, the explanation for denial will be provided to the requester in writing. (R.C. 149.43(B)(3))

- If portions of a record are public and portions are exempt, the exempt portions should be redacted, and the rest released. If there are redactions, the township will notify the requester of any redaction or make the redaction plainly visible. Each redaction should be accompanied by a supporting explanation, including legal authority, as to why the redaction was made. (R.C. 149.43(B)(1) and (2))

The township has no duty to provide records acquired after a request for records is complete.

### **COST OF PUBLIC RECORDS**

Those seeking public records should be charged only the actual cost of making copies, unless the cost is otherwise set by statute. (R.C. 149.43(B)(1)) Employee time should not be calculated into the charge for copying a public record. However, in the event that circumstances make it reasonable for the township to hire an outside contractor to make copies of requested records, the requester will be charged the actual cost paid to the outside contractor for the copying service. (R.C. 149.43(F)(2)(a)) These circumstances may include but not be limited to a lack of in-house photocopying resources or labor.

The township has no duty to provide copies of public records free of charge to someone who indicates an inability or unwillingness to pay.

The charge for paper copies is listed each year in the Township's Organizational Resolution. Employees should reference that document for the current rate as the cost is set yearly, based on copy cost.

The township may require a requester to pay in advance the cost involved in providing the copy of the public record, as requested. (R.C. 149.43(B)(6))

Upon request, the township will provide copies of public records to a requester by United States Postal Service or by any other means or transmission that the township deems reasonable. The township may require such a requester to pay in advance the cost of postage or costs incurred for other supplies used in the mailing, delivery, or transmission. (R.C. 149.43(B)(7))

There may be instances when the township may be able to provide copies made in-house without disrupting its normal functions, but only over an extended period of time. In that instance, the township may offer the requester the options of: 1) having the documents produced through a faster method by employing temporary personnel and equipment, 2) using an external private contractor, or 3) having the documents produced in-house by this public office's normal staff and equipment in a less efficient and more time-consuming manner.

### **FAILURE TO RESPOND TO A RECORD REQUEST**

The township recognizes the legal and non-legal consequences of failure to properly respond to a public records request. In addition to the distrust in government that failure to comply may cause, this office's failure to comply with a request may result in the requester commencing a mandamus action against the office in either the Court of Common Pleas, in the Court of Appeals, or in the Supreme Court of Ohio. The court may order the township to comply with the Public Records Act, as well as order the township to pay statutory damages of one hundred dollars for each business day (beginning with the day the requester filed the mandamus action) during which this office failed to comply (up to a maximum of one thousand dollars), as well as court costs and the requester's reasonable attorney fees.